

ALASKA PUBLIC OFFICES COMMISSION

Form 15-6



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Statement of Independent Expenditures

http://doa.alaska.gov/apoc

Instructions on Page 3

Select what type of person is submitting this report: (check one)

- INDIVIDUALS (Natural Persons) - fill out sections 1, 2, 3, 4, and 8, then certify on page 2.
ALL OTHER PERSONS (including corporations, companies, partnerships, firms, associations, organizations, business trusts, societies, labor unions, and groups). Fill out sections 1-3, 5-12, and certify on page 2.

1. Select whether the expenditure(s):

- Were made in the last ten days.
Were made in the last 24 hours.

File independent expenditure reports no later than 10 days after an independent expenditure is made or incurred.

An independent expenditure that exceeds \$250 and is made within nine days of an election must be reported within 24 hours after the expenditure is made.

2. Indicate which type of election the expenditure intends to influence:

- State Primary/General
October Municipal
April Anchorage Municipal
Other:

3. FULL NAME and complete MAILING ADDRESS of individual or other person:

E-mail
Contact Telephone

4. Individual's PRINCIPAL OCCUPATION and EMPLOYER:

5. For persons other than individuals, list the TYPE OF BUSINESS or ORGANIZATION (e.g. Corporation or Labor Union):

6. Provide full name of CONTACT PERSON for person listed in question 3:

E-mail
Contact Telephone

7. Name and address of each OFFICER and DIRECTOR (required of all persons other than individuals):

8. Itemize expenditure(s) made, incurred, or authorized by the person listed in question 3.

Table with 7 columns: Date Made or Incurred, Check Number, Name and Address of Vendor or Recipient, Description of Expenditure, Name of Candidate/Proposition, Support? Oppose?, Amount. Includes a sample row and summary rows for Sub-Total and Total Expenditures.

NOTE: All filings submitted to APOC are public records and available to the public as submitted. Do NOT include any of the following personal information: social security numbers, account numbers, credit card numbers, copies of checks, financial records with account numbers or access codes, or any document with personal identification numbers.

This page concerns **CONTRIBUTIONS**, which are the funds received by the person making the expenditure.

9. List cumulative amount of contributions from all previous reports.

(a) Check box if not applicable: <input type="checkbox"/>	(b) Date last form 15-6 was filed:	(c) Amount reported in response to Question 12 on last Form 15-6:
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10.  Check this box if no contributions have been received since the person submitting this form last filed a Form 15-6 with APOC. If this box is selected, skip to number 12.

11. In the table below:

- (a) List all contributions received by the person filing this report that were received for the purpose of influencing the outcome of an election.
- (b) If necessary, add the Sub-total from the table below with the total amount from any attached Form 15-6B Continuation Pages and place in box 11(c).

Date Received	Contributor's Name, Address, City, State, Zip	For individuals: Employer's name/address and individual's principal occupation*	For persons other than individuals: Name and address of each officer/director**	Amount	
<i>Below text provided for example only. Please omit example amount from your totals.</i>					
6/6/2010	Jane Citizen 1315 Home Street Anchorage, AK 99502	Name Sample Employer Occupation/Title Laboratory Technician	Address 100 City St., Anch., AK 99501	\$50.01	
6/6/2010	Poodle Society, Inc. 13 Commercial Place Anchorage, AK 99501	Name Janet Director Occupation/Title President (continue to fill out for each officer as necessary)	Address 12 City Ave., Anch., AK 99501	\$5,000.00	
		Name Occupation/Title	Address	\$	
		Name Occupation/Title	Address	\$	
		Name Occupation/Title	Address	\$	
Continued on Form 15-6B Continuation Page <input type="checkbox"/>				Sub-Total	\$
*Only required of contributors who are individuals that contribute a total in excess of \$50 during a calendar year.				Amount from Form 15-6B Continuation Page	\$
**Contributors who are persons other than individuals must always include the full name and address of each officer and director of the contributor.				TOTAL REPORTED WITH THIS REPORT - 11(c)	\$
12. TOTAL CONTRIBUTIONS. Add the amounts listed in 9(c) and 11(c) and place the resulting figure in the box to the right.				\$	

**CERTIFICATION**

I certify (or declare) under penalty of perjury: 1. That the above information is true, complete, and correct to the best of my knowledge; 2. That I have properly identified all contributors and have listed the true source of the funds for each contribution; <u>and</u> 3. That the expenditures reported herein were made without coordination with any candidate, campaign, or group.	Signature	Date
	Printed name	Title (if representing a business entity)

File this form by mail, hand delivery, fax via the address information above, or by emailing a completed .pdf version to [apoc@alaska.gov](mailto:apoc@alaska.gov) or [DOA.APOC.Reports@alaska.gov](mailto:DOA.APOC.Reports@alaska.gov)

**CAUTION: 24-HOUR REPORTS CANNOT BE MAILED.**

**Form 15-6**  
**Statement of Independent Expenditures**

**WHO MUST REPORT:** All persons, including business entities, making independent expenditures<sup>i</sup> supporting or opposing any candidate or ballot proposition or question must report the expenditure to the APOC.<sup>ii</sup>

**WHEN REPORTING IS REQUIRED:** Within 10 days OR within 24 hours of making the expenditure, depending on the timing: within 10 days from the date the expenditure is made or incurred, or within 24 hours after the expenditure is made, for expenditures that exceed \$250 made within 9 days of an election.<sup>iii</sup>

**WHERE TO FILE:** File by hand delivery, mail (do not mail 24-hour reports), fax to our Anchorage or Juneau offices, or email a scanned and completed .pdf version to [apoc@alaska.gov](mailto:apoc@alaska.gov) or [DOA.APOC.Reports@alaska.gov](mailto:DOA.APOC.Reports@alaska.gov). Please contact APOC Staff if you have any questions.

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**HOW TO FILL OUT FORM:**

**The instructions are numbered to correspond to the numbered items or "questions" on the form.**

Indicate whether you are an individual (human being or "natural person") or other person by checking the appropriate box at the top of page 1.<sup>iv</sup>

**Individuals must respond to questions 1, 2, 3, 4, and 8, then certify on page 2.**

**All other persons must respond to questions 1 – 3, 5 – 12, and then certify on page 2.**

**1. Indicate whether the independent expenditure was made within the last 10 days or within the last 24 hours by checking the appropriate box. Check both boxes if applicable.**

**2. Indicate what type of election the expenditure intends to influence. Each Form 15-6 report should correspond to only one election. If the person must report expenditures for separate elections, separate forms should be filed.**

**"State Primary/General."** Check this box if the expenditure(s) relates to ballot propositions or

candidates on the state ballot, e.g. Governor, State Representative.

**"October Municipal."** Check this box if the expenditure(s) relate to the combined municipal elections which take place in October.

**"April Anchorage Municipal."** Check this box for anything related to Anchorage municipal elections.

**"Other."** Check this box and then describe the relevant election, e.g. "Special."

**3. Provide the full name and complete mailing address of the person making the independent expenditure. If the person is an individual, write the person's full name and address here. For persons other than individuals, write the name of the business entity and complete mailing address.**

**4. Provide the individual's principal occupation and name of his or her employer. This item is only required of individuals.**

**5. Persons other than individuals must provide the type of business or organization here. If the person making the expenditure is some type of business entity, list the type of entity that has made**

the independent expenditure here. Examples include: corporation, group, nongroup entity, nonprofit corporation, and other categories within the definition of "person" (see endnote iv).

**6. Provide the name of a contact person for the person named in question 3.** Question 3 asked for the name of the "person" who made the independent expenditure. If the answer to question 3 is the name of a business, write the name of a contact person here.

**7. List the name and address for each officer and director, when applicable.** Persons other than individuals must list the names and addresses of all governing officers<sup>v</sup> and/or directors<sup>vi</sup> according to how the business is organized.

**8. List each expenditure made, incurred, or authorized by the filer.** List the date the expense was made or incurred.

For the column headed "check number" list the check number, when applicable, or alternatively list the transaction number that applies. Use "CC" as a description for credit card transactions, and "DB" for debit card transactions. (Do not list credit card numbers or other private information. Form 15-6, once filed, is a public document.)

Provide the name and address of the vendor or recipient of the expenditure funds. Describe the expenditure. List the name of the candidate or ballot proposition the expenditure seeks to influence, and indicate whether the expenditure was in support of or in opposition to the candidate or ballot proposition. Lastly, list the amount expended. If no additional pages are necessary, add the total amount of expenditures from page 1 and write the amount in the column marked "Total Expenditures Reported with this Report."

If the expenditures cannot all fit in the table provided on page 1, check the box beside "continued on Form 15-6A Continuation Page" and continue to list each expenditure on the continuation page. Subtotal the amounts from the first page and list in the column designated "Subtotal." Add the amounts from attached pages and place resulting figure in the column marked "Amount from Form 15-6A Continuation Page." Lastly, add the two subtotals and place the grand

total in the column next to "Total Expenditures Reported with This Report."<sup>vii</sup>

**Individuals are not required to fill out this form if:** the independent expenditures do not exceed \$500 in a calendar year *and* are "made only for billboards, signs, or printed material concerning a ballot proposition."<sup>viii</sup>

**Persons who are not individuals are required to create a political activities account before making an independent expenditure in support of or in opposition to a candidate or ballot proposition.**<sup>ix</sup> All funds used to make independent expenditures must be drawn from this political activities account. APOC will assume that the transaction number described will derive from the person's political activities account. These records must be maintained for six years and made available for inspection by APOC.<sup>x</sup>

**9. List cumulative amount of contributions from all previous Form 15-6 Reports filed with APOC.**

**Question 9(a).** If the person filling out this form has not reported contributions previously, check the box marked "not applicable."

**Question 9(b).** List the date of the last Form 15-6 filed with APOC, if any.

**Question 9(c).** List the amount reported in response to Question 12 on the last Form 15-6 filed by the person.

**10. Check this box if the person submitting this report has not received any new contributions since submitting the person's last Form 15-6 with APOC.** If this box is checked, there is no need to respond to Questions 11.

**11. Using the table provided, list contributions received by the person that were received for the purpose of influencing the outcome of an election.** Individuals using *only their own funds* for the expenditure do not need to respond to this question. If an individual has accepted a contribution for an expenditure, the person has likely formed a group<sup>xi</sup> and should check with APOC staff to ensure appropriate reporting procedures are followed.

**Question 11(a):** Persons submitting this form must list the cumulative amount of contributions made to

the person, if any, for the purpose of influencing the outcome of an election. Persons are also required to provide the date of each contribution and the amount contributed by each contributor.

For contributors who are **individuals**:

- If the contribution amount is \$50 or less in a calendar year, provide the name and address of the contributor.
- If the contribution amount exceeds \$50 total in a calendar year, the filer must provide the name, address, principal occupation and employer of the contributor.

For contributors who are **persons other than individuals**: The person submitting the Form 15-6 must list the name and address of each contributor, and must provide the name and address of each officer and director of the contributor.

Fill out each column in the table provided for Question 11. Provide the appropriate information about the contributor's employer or the name and address of each officer and director of the contributor. Lastly, list the amount given by each contributor.

**Question 11(b)**: If the provided table is insufficient, check the box marked "continued on Form 15-6B Continuation Page" and continue to respond to Question 11 on the continuation form. Write the subtotal amount from the continuation page in the box marked "Amount from Form 15-6B Continuation Page."

**Question 11(c)**: Add all applicable sub-totals and report the figure in the box marked 11(c).

**12. Total contributions this election cycle.** To respond to question 12, add the total contribution amount listed in response to Question 9(c), if any, with the "Total Reported with This Report" amount listed for Question 11(c). Place the total of these figures in the box for Question 12.

**Certification is required.**

All persons submitting a Form 15-6 are required to sign and certify that the information submitted is true, complete, correct, that the true source of funds has been identified for each contribution, and that the expenditures were made without coordination with a candidate, campaign, or group. For persons other than individuals, the form should

be signed by an individual authorized to sign on behalf of the entity. This individual must list their title to explain their relationship with the person.

**REMINDER:**

All political communications<sup>xii</sup> must be clearly identified with the words "**paid for by**" followed by the name and address of the person paying for the communication.<sup>xiii</sup> Please contact APOC with any questions regarding "paid for by" requirements.

<sup>i</sup> Independent expenditures are those made without the direct or indirect consultation or cooperation with, or at the suggestion of, or with the prior consent of, a candidate, a candidate's campaign treasurer or deputy campaign treasurer, another person acting as a principal or agent of the candidate, or a group. AS 15.13.400(10); 2 AAC 50.270.

<sup>ii</sup> AS 15.13.040(d).

<sup>iii</sup> AS 15.13.110(g).

<sup>iv</sup> The definition of "person" includes natural persons, corporations, companies, partnerships, firms, associations, organizations, business trusts, societies, labor unions, nongroup entities and groups AS 15.13.400(14); AS 01.10.060(8).

<sup>v</sup> An "officer" is a "president, vice president, secretary, or treasurer, principal financial officer, or comptroller of a corporation, or any person routinely performing functions similar to those of a president, vice president, secretary, or treasurer, principal financial officer, or comptroller with respect to any organization." AS 15.13.040(q)(2).

<sup>vi</sup> A "director" is a "member of the board of directors of a corporation or any person performing a similar function with respect to any organization." AS 15.13.040(q)(1).

<sup>vii</sup> AS 15.13.040(e).

<sup>viii</sup> AS 15.13.040(h).

<sup>ix</sup> AS 15.13.052.

<sup>x</sup> AS 15.13.052; AS 15.13.111.

<sup>xi</sup> A "group" is formed by "any combination of two or more individuals acting jointly who organize for the purpose of influencing the outcome of one or more elections and who take action the major purpose of which is to influence the outcome of an election..." AS 15.13.400(8)(B).

<sup>xii</sup> Political communications include advertisements in any media, billboards, handbills, websites, and other communications intended to influence the election outcome. See 2 AAC 50.306.

<sup>xiii</sup> AS 15.13.090(a).